
Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 4	0 7	3 1

 to

YYYY	MM	DD
2 0 1 4	1 2	3 1

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
 Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

 Last Name
 SWAN

 Given Name(s)
 JOE

 Name of office for which the candidate sought election
 MAYOR

Ward name or no. (if any)

 Name of Municipality
 LONDON

 Spending limit issued by clerk
 \$ 255,303.05

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

Box B: Declaration

I, JOE SWAN, a candidate in the municipality of LONDON, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

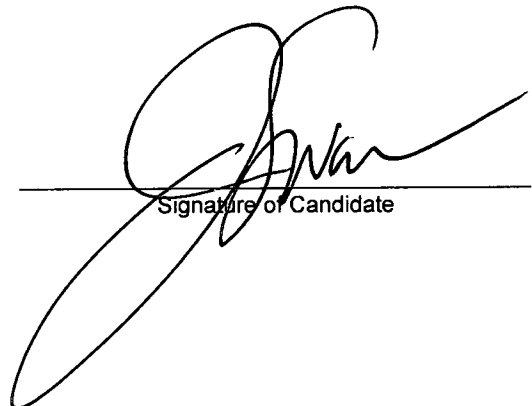
 in the CITY OF LONDON
 on (yyyy/mm/dd) 2015/03/26


Signature of Clerk or Commissioner

2015/03/26

Date Filed in the Clerk's Office (yyyy/mm/dd)

James C. Purser, a Commissioner for taking Affidavits and Oaths, Middlesex County, while a deputized Clerk of the Corporation of the City of London.



Signature of Candidate

Box C: Statement of Campaign Income and Expenses**LOAN**Name of bank or recognized lending institution TD CANADA TRUST

Amount borrowed \$ _____

INCOME

Total amount of all contributions (From line 1A in Schedule 1)	+ \$	<u>32,356.39</u>
Refund of nomination filing fee	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	<u>0.07</u>
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	<u>32,356.46</u> C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

Nomination filing fee	+ \$	<u>200.00</u>
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+ \$	_____
Advertising	+ \$	<u>4,211.70</u>
Brochures/flyers	+ \$	<u>7,296.82</u>
Signs (including sign deposit)	+ \$	<u>30,601.31</u>
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	<u>3,407.07</u>
Phone and/or Internet expenses incurred until voting day	+ \$	<u>1,155.56</u>
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	<u>11,300.00</u>
Bank charges incurred until voting day	+ \$	<u>14.85</u>
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Total Expenses subject to spending limit	= \$	<u>58,187.31</u> C2

Expenses not subject to spending limit

Accounting and audit	+ \$	<u>1,130.00</u>
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	+ \$	_____
Voting day party/appreciation notices	+ \$	_____
Office expenses incurred after voting day	+ \$	_____
Phone and/or Internet expenses incurred after voting day	+ \$	_____
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	_____
Bank charges incurred after voting day	+ \$	<u>15.73</u>
Interest charged on loan after voting day	+ \$	_____
Expenses related to recount	+ \$	_____
Expenses related to controverted election	+ \$	_____
Expenses related to compliance audit	+ \$	_____
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Total Expenses not subject to spending limit	= \$	<u>1,145.73</u> C3

Total Campaign Expenses (C2 + C3)**= \$ 59,333.04 C4**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	<u>-26,976.58</u>	D1
Eligible deficit carried forward by the candidate from the last election	- \$		D2
Total (D1 – D2)	= \$	<u>-26,976.58</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	<u>-26,976.58</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5)	+ \$	9,581.39
Contribution from spouse	+ \$	
Total value of contributions not exceeding \$100 per contributor		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 – 4)		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	22,775.00
Less: Contributions returned or payable to the contributor	- \$	
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10	- \$	
Total Amount of Contributions (Record in Box C)	= \$	32,356.39 1A

Part II – List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
SEE ATTACHED LIST		5,275.00

Name	Full Address	Amount \$
		5,275.00
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
SEE ATTACHED LIST				17,500.00
				17,500.00
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Table 3: Contributions in goods or services from individuals other than candidate or spouse
(Note: must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
NONE			

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total

Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)

Name of Corporation (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
NONE					
					22,775.00

Total

Additional information is listed on separate supplementary attachment

Total Part II Contributions (Add Totals from Tables 1-4) (Record in Part I - Summary)

\$ 1B

Part III - Inventory

Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$
NONE					

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment					Total

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity NONE

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

Total Ticket Revenue (2A X 2B) (Include in Schedule 1)

+	\$	_____	2A	
X		_____	2B	
				= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____

Total Part II Revenue (include in Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____

Total Part III Revenue (include in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____
6.	_____	+	\$	_____
7.	_____	+	\$	_____
8.	_____	+	\$	_____

Total Part IV Expenses (include in Box C)

= \$ _____

Auditor's Report
Municipal Elections Act, 1996 (Section 78)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor
CHARTERED ACCOUNTANT

Municipality
LONDON

Date (yyyy/mm/dd)
2014/03/27

Contact Information

Last Name
STRYBOSCH

First Name
WILLIAM

Licence Number
1-15887

Address

Suite/Unit No.
100

Street No.
560

Street Name
WELLINGTON STREET

City/Town
LONDON

Province
ON

Postal Code
N6A 3R4

Telephone No. (including area code)
519 679-1910 ext.24

Fax No.
519 679-2058

Email Address
strybosch@prevettrowden.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

**SWAN FOR MAYOR CAMPAIGN
INDIVIDUAL CONTRIBUTIONS**

NAME	FULL ADDRESS	INDIVIDUAL CONTRIB'S > \$100
Thomas G. Whealy	924 Oxford St. E., London, ON N5Y 3J9	750.00
Murray Faulkner	49 Sir Robert Pl Arva ON N0M 1C0	750.00
Donald A. McKellar	1603 - 22 Picton St, London, ON N6B 3R5	100.00
Claude Pensa	785 Wellington St. N6A 3S5	500.00
James Kennedy	237 Grand Ave London N6C 1M6	750.00
Laverne Kirkness	427 Regent St. London , N5Y 4H1	100.00
Fred Galloway	40 Birchmount Walk, London , N6K 4K5	500.00
Unknown	Unknown	125.00
Ms Huai Yu Wang	133 Buttercup Ct N6G 5M6	100.00
John Nickolson	20628 Franks Lane ON N6H 5L2	100.00
A Spriet	151 York St ON N6A 1A8	500.00
N Toumi	Apt 204 1558 Trossacks Ave N5X 2P2	200.00
E Cormier	1512-140 Fullarton Street. London, ON N6A 5P2	300.00
T Weisz	240 Main St Hamilton ON L8N 1H5	500.00
TOTALS		5,275.00

SWAN FOR MAYOR CAMPAIGN
CORPORATE / UNION CONTRIBUTIONS

NAME	FULL ADDRESS	CORPORATE CONTRIB'S
Labourers' International Union of North America Local 1059	56 Firestone Blvd., London, ON N5W 5L4	750.00
Sofco Properties Limited	201 - 303 Richmond St, London, ON N6B 2H8	750.00
Wickerson Hills Development Inc.	201 - 303 Richmond St, London, ON N6B 2H8	750.00
Connect Dot Management Inc.	150 Baseline Rd. E., London, ON N6C 2N9	750.00
BLG Group Inc.	430 King St. Suite 204 London N6B 1S7	750.00
London District Heavy Construction Assoc.	11 Haymarket Pl. , London N6C 3C9	750.00
Lovett Electric Ltd.	1510 Woodcock St Unit #8	750.00
Air-Tech Systems Ltd.	4112 Dowell Dr., London N6L1R3	700.00
Kiel & Company	13 Indian Rd. London ON N6H4A6	500.00
Rose Property Management Inc.	PO Box 50001 RPO Wilton, London ONN6A6H8	500.00
EMT Contractor Division Inc.	1615 N Routeledge Park #3 London ON N6H 5N5	750.00
Fayez Beauty Spa	775 Wonderland Rd S. Building D, London ON N6K 2Y5	750.00
Winmar	175 Stronach Cresc. London ON N5V 3G5	200.00
Mycon Construction Div of	1615 N Routeledge Park #9 London ON N6H 5L6	750.00
SC Financial Investments Inc.	700 Applewood Cres. Suite 100, Vaughan ON L4K5X3	750.00
Kirkness Consulting	1647 CedarCreek, London , ON N5X 0C8	100.00
1483310 Ontario Limited	229 Horton St. E London ON N6B 1L1	750.00
2213916 Ontario Limited	201-303 Richmond Street ON N6B 2H8	750.00
Keller Roofing	206 Victoria St. W. Exeter Ontario N0M 1S2	750.00
1732454 Ontario Inc	233 Horton Street East, London, ON N6B 1L1.	750.00
Hampton Group - David Tenant	784 Richmond Street, London, ON N6A 3H5	150.00
Ozmar Property Management	97 Ponderosa Cres On N6E 2L5	200.00
Arcon Electric Ltd. -	1065 Clarke RD On N5V 3B3	250.00
Richmond Development Corp	4250 King Road King City ON L7B 1K4	750.00
Dicitur Holdings Inc	560 Wellington St ON N6A 3R4	500.00
Champion Builders Corporation	2601 Wyandotte St E ON N8Y 0A5	400.00
Tomar Products Corp	9910 Charlton Drive RR1 Denfield ON N0M 1P0	750.00
Wernham Wealth Mgmt	431 Boler RD ON N6K 2K8	750.00
Crosslands General Insc Brokers	846 Richmond St ON N6A 3H3	250.00
Low Price Towing	1069 Thornley Street ON N6K 4V4	250.00
TOTALS		<u>17,500.00</u>

SUITE 100
560 WELLINGTON STREET
LONDON, ONTARIO
N6A 3R4

PREVETT ROWDEN
CHARTERED ACCOUNTANTS

I.D. THOMAS
W.H.J. STRYBOSCH

TELEPHONE
(519) 679-1910
FAX
(519) 679-2058

March 26, 2015

To City Clerk, City of London

We have audited the accompanying Financial Statement – Form 4 of the Joe Swan Mayoral Campaign which includes the Statement of Campaign Income and Expenses for the period July 31 to December 31, 2014 related to the election held on October 27, 2014. The financial statement has been prepared by the Candidate based on the financial reporting provisions of the *Municipal Elections Act, 1996*.

Candidates Responsibility for the Financial Statements

The Candidate is responsible for the preparation of this financial statement in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996*, and for such internal control as the Candidate determines necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on this financial statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Campaign's preparation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Campaign's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the financial statement.

We believe that the audit evidence we have obtained, including verifying Campaign contributions to the Campaign bank account statement, is sufficient and appropriate to provide a basis of our qualified audit opinion.

Basis for Qualified Opinion

Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amount recorded in the Campaign's records and we were not able to determine whether any adjustments might be necessary to income and expenses and surplus/deficit.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, this financial statement presents fairly, in all material respects, the income and expenses of the Joe Swan Mayoral Campaign from July 31 to December 31, 2014 in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996*.

The *Municipal Elections Act, 1996* does not require us to report, nor was it practicable for us to determine, that the contributions reported include only those which may be properly retained in accordance with the provisions of the *Municipal Elections Act, 1996*.

Prevett Rowden

CHARTERED ACCOUNTANTS
LICENSED PUBLIC ACCOUNTANTS